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10/023317  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned  
Group Art Unit : Not yet assigned  
Applicants : Christian Plank et al.  
Application No. : Not yet assigned  
Filed : Concurrently herewith  
For : COMBINATIONS FOR INTRODUCING NUCLEIC ACIDS  
INTO CELLS

New York, New York  
December 17, 2001

BOX PATENT APPLICATION  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.56

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants make of record the following document, copies of which are submitted herewith:\*

UNITED STATES PATENT DOCUMENTS

Zalipsky et al., United States Patent 5,455,027, issued October 3, 1995

FOREIGN PATENTS OR PATENT PUBLICATIONS

WO 94/09056 published April 28, 1994

WO 96/21036 published July 11, 1996

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\* A completed Form PTO-1449 listing these documents is attached hereto.

WO 97/25067 published July 17, 1997

WO 98/19710 published May 14, 1998

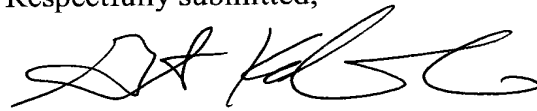
OTHER DOCUMENTS

PATENT ABSTRACTS OF JAPAN vol. 1998, no. 06, 30 April 1998 (1998-04-30) & JP 10 028583 A (HISAMITSU PHARMACEUT CO INC), 3 February 1998 (1998-02-03)

All of the enclosed documents were cited in an International Search Report or International Preliminary Examination Report (IPER) issued in connection with the counterpart PCT application. For the convenience of the Examiner, a copy of the Search Report is enclosed.

Applicants request that these documents be (1) fully considered by the Examiner during the examination of this application; and (2) printed on any patent that may issue from this application. Applicants also request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)

Elinor K. Shin (Reg. No. 43,117)

Attorneys for Applicants

Grant Kalinowski (Reg. No. 48,314)

Agent for Applicants

c/o FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020

Tel.: (212) 596-9000

Fax.: (212) 596-9090

